SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

Estimated average burden hours per response:

3235-0287

0.5

OMB Number:

Check this box if no longer subject to
Section 16. Form 4 or Form 5 obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Instruction 1(b	o).		Filed pursuar	nt to Section 16(a) o	of the Securitie	es Exchange Act of 1934					
	·			ction 30(h) of the In							
1. Name and Add	Iress of Reporting	Person [*]		er Name and Ticker				tionship of Reportir all applicable)	Reporting Person(s) to Issuer le)		
<u>KELLOGO</u>	<u>FEILN</u>						X	Director	10% 0	Owner	
(Last) C/O YIELD10	(First)) BIOSCIENCE	(Middle) E, INC.	3. Date 05/22/	of Earliest Transac /2019	tion (Month/D	ay/Year)		Officer (give title below)	Other below	(specify)	
19 PRESIDEN	NTIAL WAY	4 16 4 17									
				nendment, Date of C	Jriginal Filed ((Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)							X	Form filed by On	e Reporting Pers	on	
Street) WOBURN MA 01801								Form filed by Mo Person	ore than One Rep	orting	
(City)	(State)	(Zip)									
		Table I - No	n-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benefi	cially	Owned			
			2. Transaction Date	2A. Deemed Execution Date.	3. Transaction	4. Securities Acquired (A) Disposed Of (D) (Instr. 3.		5. Amount of Securities	6. Ownership Form: Direct	7. Nature	

Date (Mon	nth/Day/Year) i	if any	Code (I 8)		5)	5) (insu	Beneficially (D) or Indirect Ben Owned Following (I) (Instr. 4) Owr Reported Transaction(s)		Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price			(L
Table II. Derivative Convition Acquired Disposed of an Dependicially Owned											

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number Derivative Securities Acquired or Dispose of (D) (Ins	(A) ed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	4 and 5)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	(, (
Stock Option (right to buy)	\$0.9	05/22/2019		A		10,000 ⁽¹⁾		(2)	05/22/2029	Common Stock	10,000	\$0	10,000	D	

Explanation of Responses:

1. These options were issued to the Reporting Person as the annual option grant to non-employee directors pursuant to the Issuer's Director Compensation Policy for services to be rendered to the Issuer as a member of its Board of Directors and/or a committee thereof.

2. These options will vest and become exercisable in equal quarterly installments over 4 years from 5/22/19, beginning on 8/22/2019 and ending on 5/22/2023.

/s/ Megan N. Gates, attorney-in-05/23/2019

<u>fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.