SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

Estimated average burden

OMB Number:

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3235-0287

0.5

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Check this box if no longer subject to	STATEM
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

IENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			01 000		vesiment con	ipariy Act of 15	40					
1. Name and Address of Reporting Person* Sinskey Anthony J				2. Issuer Name and Ticker or Trading Symbol YIELD10 BIOSCIENCE, INC. [YTEN]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Sinskey An	<u>thony J</u>				,		. 1	X	Director	10% 0	Dwner	
(Last) (First) (Middle) C/O YIELD10 BIOSCIENCE, INC.				3. Date of Earliest Transaction (Month/Day/Year) 05/22/2019					Officer (give title below)	Other below	(specify)	
19 PRESIDENTIAL WAY			4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicable			
(Street)								X	Form filed by One	e Reporting Pers	on	
WOBURN	MA	01801							Form filed by Mo Person	re than One Rep	orting	
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Transaction(s) (Instr. 3 and 4) (A) or (D) Code V Amount Price Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 10. 11. Nature 2. Derivative Security Execution Date, if any (Month/Day/Year) Transaction of Securities Underlying Derivative Security Ownership Form: Conversion Expiration Date (Month/Day/Year) Date Derivative Securities Derivative derivative of Indirect (Month/Day/Year) or Exercise Code (Instr. Security Securities Beneficial (Instr. 3) Price of 8) Acquired (A) (Instr. 5) Beneficially Direct (D) Ownership or Indirect (I) (Instr. 4) Derivative or Disposed (Instr. 3 and 4) Owned (Instr. 4) of (D) (Instr. 3 4 and 5) Security Following Reported Transaction(s) Amount (Instr. 4) or Number of Shares Expiration Date ν (A) (D) Title Code Exercisable Date Stock Option Commo \$0.9 05/22/2019 A 10,000⁽¹⁾ (2) 05/22/2029 10,000 \$<mark>0</mark> 10,000 D (right to Stock buy)

Explanation of Responses:

1. These options were issued to the Reporting Person as the annual option grant to non-employee directors pursuant to the Issuer's Director Compensation Policy for services to be rendered to the Issuer as a member of its Board of Directors and/or a committee thereof.

2. These options will vest and become exercisable in equal quarterly installments over 4 years from 5/22/19, beginning on 8/22/2019 and ending on 5/22/2023.

/s/ Megan N. Gates, attorney-in-05/23/2019

<u>fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.